

JC02 Rec'd PCT/PT9 12 APR 2005

PTO-1390 (Rev. 02-2005) Approved for use through 03/31/2007. OMB 0651-0021

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## TRANSMITTAL LETTER TO THE UNITED STATES 63249(50964) DESIGNATED/ELECTED OFFICE (DO/EO/US) se\_37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2003/013322 26 November 2003 27 November 2002 5 TITLE OF INVENTION N,N-BRIDGED, NITROGEN-SUBSTITUTED CARBACYCLIC INDOLOCARBAZOLES AS PROTEIN KINASE INHIBITORS APPLICANT(S) FOR DO/EO/US Barbara Monse et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must 3. Х include items (5), (6), (9) and (21) indicated below. 4 The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) X x is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. C. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). х Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. d. Х have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9 An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: x An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12 13. A preliminary amendment. 14 An Application Data Sheet under 37 CFR 1.76. A substitute specification. 15 A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19 20. Other items or information: Return Receipt Postcard; Copy of the ISR



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U.S. APPLICATION A	10. (if known - one 37 CE	『名"る		INTERNATIONAL AF			Αī	TORNEY'S DOCKET NUM	
						63249(50964)  CALCULATIONS PTO USE ONLY			
The following fees have been submitted  21. x Basic national fee							\$	300.00	PIO USE ONLY
						₽	300.00		
22. x Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy									
provisions of PCT Article 33(1)-(4)									
All other situations \$200							\$	200.00	
23. x Search fee									
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority									
International Search Report prepared and provided to the Office\$400								<b></b>	
All other situations \$500  TOTAL OF 21, 22 and 23 =						\$ \$	500.00 1,000.00	<del>                                      </del>	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding							₽-	1,000.00	
sequence	listing or computer i	program l	listina f	iled in an electroni	c medium).	The fee is	l		ļ
\$250 for each additional 50 sheets of			mher of each additional 50 or fraction			1			
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from the earliest of	Surcharge of \$130.00 for furnishing the oath or declara from the earliest claimed priority date (37 CFR 1.492 (e)).			claration later tha (e)).	aration later than 30 months			130.00	
CLAIMS	NUMBER I			BER EXTRA	F	RATE	╈	···	<b>1</b>
Total claims	10 -	20 =	HI		×		\$	0.00	
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MULTIPLE DEPE	NDENT CLAIM(s)	(if applic	cable)		+	360.00	\$	360.00	
ļ				TAL OF ABOVE			\$	1,490.00	
x Applicant ct	aims small entity sta	atus. See	37 CF	R 1.27. Fees abo	ve are redu	uced by 1/2.	\$	745.00	
SUBTOTAL =							\$	745.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).									
TOTAL NATIONAL FEE =							\$	745.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property									
TOTAL FEES ENCLOSED = \$ 745.00									
					,			mount to be funded:	\$
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a. A check in the amount of \$ to cover the above fees is enclosed.									
b. X Please charge my Deposit Account No. 04-1105 in the amount of \$ 745.00 to cover the above fees. A duplicate copy of this sheet is enclosed.									
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any									
overpayment to Deposit Account No. 04-1105 . A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:									
-							J. Voi		
Jeffrey D. Hsi EDWARDS & ANGELL, LLP						Jeffrey D. Hsi			
P.O. Box 55874 NAME								<del></del>	<del></del>
Boston, Massachusetts 02205 (617) 439-4444									
40,024						<del></del>			
COSTOMER NUMBER: 21874 REGISTRATION NUMBER									

## **10**/53226**3 JC20** Rec'd PCT/PTO 12 APR **2005**

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 63249(50964)

## **Certificate of Express Mailing Under 37 CFR 1.10**

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Copy of the ISR (4 pages)

Information Disclosure Statement (2 pages)
IDS (Citation) by Applicant (1 page) (4 References)